

Structured Settlement Business Practice Declaration Concerning Structured Settlement Factoring Transaction Compensation

I, _____, declare each of the following to be true, accurate, correct, and complete as of the date this Declaration is signed:

- a. I am currently licensed or appointed by at least one life insurance company, to sell its structured settlement annuity contracts or to act as a structured settlement consultant or broker directly, or through an affiliated brokerage or general agency, and designing and placing structured settlements and/or settlement planning is my primary business;
- b. I do not take, nor plan to take, compensation, of any form, as a result of referrals to companies that broker, or fund, structured settlement factoring transactions;
- c. I do not sell, nor intend to sell, the names of structured settlement annuitants or details of structured settlements to third parties for the purpose of encouraging or facilitating a structured settlement factoring transaction; and
- d. I do not provide, nor intend to provide, structured settlement documents to factoring companies

By submitting this Declaration, I am agreeing that this Declaration may be made public in its entirety, and I expressly consent to the release and disclosure of the Declaration.

I declare under penalty of perjury that the foregoing is true, accurate, complete, and correct.

Executed on this ____ day of _____, 200__.

Sworn to before me this ____ day of _____, 200__.

(Signature of Individual Broker Making Declaration)

(Print Full Name of Individual Broker Making Declaration)

(Street or Post Office Box)

(City, State, and Zip Code)

NOTARY

MY COMMISSION EXPIRES: _____

After completing, signing and having notarized, please mail original to: 43 Harbor Drive, #309 Stamford, CT 06902